
A. GENERAL PRINCIPLES

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3 It is the policy of the Transylvania County Board of Education (the “board”) to provide
4 all applicants for employment with equal employment opportunities and to provide
5 current employees with training, compensation, promotion, and other benefits of
6 employment without regard to race, color, religion, national origin, military affiliation,
7 genetic information, sex, age, or disability, except when sex, age, or physical
8 requirements are essential occupational qualifications. All candidates will be evaluated
9 on their merits and qualifications for positions. All employment decisions will be
10 consistent with the board’s objective of providing students with the opportunity to receive
11 a sound basic education, as required by state law.
12

13 The board also is committed to diversity throughout the programs and practices of the
14 school system. To further this goal, the recruitment and employment program should be
15 designed to encourage a diverse pool of qualified applicants.
16

B. RECRUITMENT

17 Recruitment for a specific vacancy will be undertaken only after the need and
18 qualifications for the position are established and proper authorization is obtained.
19

20 All vacancies must be adequately publicized within the school system so that employees
21 will be informed of opportunities for promotion or transfer to new jobs; however, the
22 superintendent or designee may forgo publicizing a vacancy if the position will be filled
23 through a lateral assignment, reassignment, or promotion of a current employee or if
24 exigent circumstances necessitate that the position be filled immediately. Vacancies also
25 may be publicized externally to attract qualified applicants.
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C. CRIMINAL HISTORY

29 In addition to reporting requirements applicable to applicants for positions classified as
30 “child care provider” positions as provided in Section D of this policy, applicants must
31 notify the director of human resources immediately if they are arrested, charged with, or
32 convicted of a criminal offense (including entering a plea of guilty or *nolo contendere*)
33 other than a minor traffic violation (i.e., speeding, parking, or a lesser violation). Notice
34 must be in writing, must include all pertinent facts, and must be delivered to the director
35 of human resources no later than the next scheduled business day following the arrest,
36 charge, or conviction, unless the applicant is hospitalized or incarcerated, in which case
37 the applicant must report the alleged violation within 24 hours after his or her release.
38 Upon judicial action in the matter, the applicant must report the disposition and pertinent
39 facts in writing to the director of human resources no later than the next business day
40 following adjudication.
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44 A criminal history check and a check of sex offender registries must be conducted on all
45 final candidates for employment with the school system. Criminal history checks must
46 be conducted in accordance with state law and any procedures established by the
47 superintendent. School officials shall not require candidates to disclose expunged arrests,
48 charges, or convictions, and shall not ask candidates to voluntarily disclose such
49 information without first advising that disclosure is not required. The superintendent or
50 designee shall report to the State Board of Education any licensed individual who is
51 found to have a criminal history, as required by State Board policy. Special requirements
52 are described in Section D of this policy for criminal history checks of candidates for
53 certain positions working with pre-school children or working in afterschool or
54 developmental day programs.

55
56 A final candidate for employment or for hiring as an independent contractor will be
57 excluded from hiring on the basis of criminal conduct only when doing so is job-related
58 and consistent with business necessity. If a final candidate is found to have been
59 convicted (including entering a plea of guilty of *nolo contendere*) of a criminal offense,
60 other than a minor traffic violation, the superintendent shall determine whether the
61 individual is qualified for employment despite the criminal history by considering, among
62 other things, whether the individual poses a threat to the safety of students or personnel or
63 has demonstrated that he or she does not have the integrity or honesty to fulfill the duties
64 of the position. The following factors will be considered in making this determination:
65 (1) the nature and gravity of the offense or conduct; (2) the time that has passed since the
66 offense or conduct and/or completion of the sentence; and (3) the nature of the job
67 sought. Before the superintendent may exclude a final candidate based on his or her past
68 criminal convictions, the superintendent must give the candidate the opportunity to
69 demonstrate that the exclusion does not properly apply to him or her. The requirements
70 of this paragraph do not apply to a child care provider who is determined to be
71 disqualified by the Division of Child Development and Early Education on the basis of a
72 criminal history check conducted pursuant to G.S. 110-90.2, 42 U.S.C. 9858f, and 45
73 C.F.R. 98.43. (See Section D of this policy).

74
75 The board has determined that every position with the school system, regardless of
76 whether the position is located in a school or elsewhere, potentially entails contact with
77 students, either on a regular, occasional, or emergency basis. For that reason, no
78 individual who is a registered sex offender subject to the provisions of policy 5022,
79 Registered Sex Offenders, will be hired for any position with the school system.

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81 In addition, each contract executed by the board with an independent contractor or for
82 services of independent contractors must require the contractor to check sex offender
83 registries as specified in policy 5022, Registered Sex Offenders.

84 85 **D. CRIMINAL HISTORY CHECKS OF CHILD CARE PROVIDERS**

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87 For purposes of this section, a “child care provider” is:

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 89 1. any person who works or is a final candidate seeking to work in a classroom or
 90 program licensed by the Department of Health and Human Services, Division of
 91 Child Development and Early Education (DCDEE); and
 92
 93 2. any person, including a volunteer, who has unsupervised contact with children
 94 enrolled in such classrooms or programs.
 95

96 Before beginning initial employment or volunteer service and at least every three years
 97 thereafter, each child care provider must complete a criminal background check that
 98 meets the requirements of G.S. 110-90.2, 42 U.S.C. 9858f, and 45 C.F.R. 98.43 and
 99 present a letter issued by DCDEE indicating that the individual is qualified to have
 100 responsibility for the safety and well-being of children based on the individual’s criminal
 101 history.
 102

103 After September 30, 2019, no person shall (1) be employed, continue to be employed, or
 104 be permitted to volunteer as a child care provider, or to otherwise have unsupervised
 105 contact with students enrolled in a licensed classroom or program operated by the school
 106 system or (2) be counted in the staff/child ratio of such classroom or program, unless the
 107 person holds a current valid qualification letter issued by DCDEE. However, a child care
 108 provider with provisional status may be employed pending final results of the criminal
 109 background check but shall be subject to the restrictions established by 10A N.C.A.C. 09
 110 .2703(f).
 111

112 The application fee and cost of fingerprinting associated with the DCDEE criminal
 113 history check process shall be borne by the board.
 114

115 A child care provider who has incurred any pending charges, indictments, or convictions
 116 (other than minor traffic offenses) since the last qualification letter was issued by
 117 DCDEE shall notify the assistant superintendent of human resources in writing of such
 118 charges within five business days or before returning to work, whichever comes first.
 119 The assistant superintendent of human resources shall notify DCDEE within one business
 120 day of being notified.
 121

122 The superintendent or designee shall include the criminal history mandatory reporting
 123 requirement in all new employee orientation information for child care providers. The
 124 superintendent shall also be responsible for establishing effective recordkeeping methods
 125 and other processes as necessary to ensure compliance with all legal requirements
 126 pertaining to criminal history record checks of child care providers.
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128 **E. SELECTION**

- 129 1. Qualifications
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132 Candidates for employment must be selected based upon their likely ability to
133 fulfill duties identified in the job description as well as performance standards
134 established by the board. In making the determination, the following information
135 must be considered:

- 136 a. application;
- 137
- 138 b. education and training;
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- 140 c. licensure and certification (when applicable);
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- 142 d. relevant experience;
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- 144 e. personal interviews; and
- 145
- 146 f. references and/or background checks.
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149 When several applicants for the same position are equally qualified and suitable
150 for the position, employees within the school system will be given priority.

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152 2. Nepotism

- 153 a. For purposes of this subsection, the following definitions apply.
 - 154 i. “Immediate family” means spouse, parent, child, brother, sister,
155 grandparent, or grandchild. The term includes the step, half, and
156 in-law relationships.
 - 157
 - 158 ii. “Central office staff administrator” includes directors, supervisors,
159 specialists, staff officers, assistant superintendents, area
160 superintendents, superintendents, and principals.
- 161 b. Before any immediate family of any board of education member or central
162 office staff administrator is employed by the board or engaged in any
163 capacity as an employee, independent contractor, or otherwise, (1) the
164 board member or central office staff administrator must disclose the
165 familial relationship to the board and (2) the prospective employment or
166 engagement must be approved by the board in a duly called open session
167 meeting.
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 - 172 i. An employee who knowingly fails to disclose a familial
173 relationship to the board as required will be subject to disciplinary
174 action up to and including dismissal.
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- 176 ii. Notification by the employee to the director of human resources
- 177 will be deemed disclosure to the board. The director of human
- 178 resources is responsible for conveying the disclosure to the board
- 179 before the board takes action on the prospective employment or
- 180 engagement.
- 181
- 182 c. When making recommendations for the selection and assignment of
- 183 personnel, the superintendent shall attempt to avoid situations in which
- 184 one employee occupies a position in which he or she has influence over
- 185 the employment status, including hiring, salary, and promotion, of another
- 186 employee who is a member of the first employee’s immediate family.
- 187
- 188 d. No administrative or supervisory personnel may directly supervise a
- 189 member of his or her immediate family.

190 3. Employment Procedures

191 All applicants selected for employment must be recommended by the

192 superintendent and approved by the board. In situations in which the employee

193 must be hired between board meetings, the superintendent is authorized to

194 approve hiring such personnel, contingent upon approval by the board at its next

195 scheduled board meeting.

196 State guidelines must be followed in selection and employment procedures. The

197 superintendent shall develop any other procedures necessary to implement this

198 policy.

199 The superintendent shall develop procedures for verifying new employees’ legal

200 status or authorization to work in the United States as required by law.

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206 Legal References: Age Discrimination in Employment Act of 1967, 29 U.S.C. 621 *et seq.*;

207 Americans with Disabilities Act of 1990, 42 U.S.C. 12101 *et seq.*; Equal Educational

208 Opportunities Act of 1974, 20 U.S.C. 1703; Equal Pay Act of 1963, 29 U.S.C. 206; Fair Credit

209 Reporting Act, 15 U.S.C. 1681 *et seq.*; Genetic Information Nondiscrimination Act of 2008, 42

210 U.S.C. 2000ff *et seq.*; Military Selective Service Act, 50 U.S.C. Appx. 453; Rehabilitation Act of

211 1973, 29 U.S.C. 794; Title VII of the Civil Rights Acts of 1964, 42 U.S.C. 2000e *et seq.*; Title IX

212 of the Education Amendments of 1972, 20 U.S.C. 1681 *et seq.*; Uniformed Services Employment

213 and Reemployment Rights Act of 1994, 38 U.S.C. 4301 *et seq.*; 8 U.S.C. 1101 *et seq.*; 42 U.S.C.

214 9858f: 45 C.F.R. 98.43; *Green v. Missouri Pacific Railroad* (8th Cir. 1975); *Enforcement*

215 *Guidance on the Consideration of Arrest and Conviction Records in Employment Decisions*

216 *Under Title VII of the Civil Rights Act of 1964*, U.S. Equal Employment Opportunity

217 Commission (April 25, 2012) available at

218 http://www.eeoc.gov/laws/guidance/arrest_conviction.cfm; G.S. 14-208.18; 15A-153; 110.90.2;

219 115C-12.2, -36, -47, -276(j), -332; 126-7.1(i), -16; 127A-202.1 *et seq.*; 127B-10, -12, -14; 143B-

220 421.1, -931; *Leandro v. State*, 346 N.C. 336 (1997); 10A N.C.A.C. 09 .0102, -.2701, -.2702, -
221 .2703; 16 N.C.A.C. 6C .0313; State Board of Education Policies BENF-009 and NCAC-019

222
223 Cross References: Board Authority and Duties (policy 1010), Registered Sex Offenders (policy
224 5022)

225
226 Adopted: January 19, 2016

227
228 Revised: February 19, 2018 (legal references only); July 16, 2018; July 15, 2019; December 16,
229 2019; [DATE]

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